



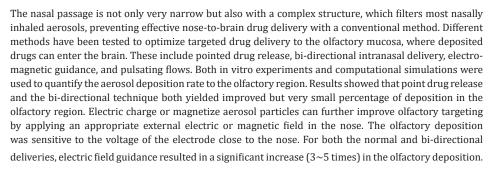
Electromagnetic Steering of Nanomedicine to the Olfactory Mucosa for Nose-to-Brain Drug Delivery

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Abstract

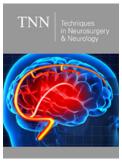


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Introduction

Delivering drugs directly to the olfactory region has many advantages over traditional treatment of neurological disorders [1,2]. Extremely low efficiency of olfactory delivery using conventional nasal devices has discouraged clinical applications of direct nose-to-brain drug delivery and forestalled the development of newly engineered neurological medications devised for the nasal route of administration [3]. The poor drug delivery to the olfactory region is due to the following two factors: (1) the convoluted nasal passage, which blocks most of the inhaled aerosols to reach the olfactory region [4], and (2) no control over particle trajectories in the nose. New delivery methods have been explored to increase the delivery efficiency to the olfactory region. A catheter was Wang et al. [5] suggested to let a catheter slide beneath the olfactory region to administer medications. However, this protocol has the risk to irrigate the turbinate mucosa when inserting the catheter into the nasal passages. Gizurarson [6] evaluated the performance of a nasal spray with a small plume angle so that aerosols can reach the olfactory region. This technique requires a high spray speed or with a high pressure to overcome the flow resistance. Hoekman & Ho [7] reported that a swirling flow in the nasal sprays resulted in improved olfactory dosages in rats than the non-swirling flow. Data extrapolation from rat to human, however, might not be comparable due to the vast inter-species discrepancies [8,9]. One such difference is the area of the olfactory epithelium, which covers 45% of the nasal surface in rats, but only 5.2% in human [10]. Considering that the possible deposition location of a particle largely depends on its initial position (i.e., the positioning of nozzle), Si et al. [11] released aerosols into a specific point of the nostril and demonstrated increased olfactory dosage than the method that aerosols sprayed into the entire nostril. However, the overall efficiency is still too low for clinical application. Examining all trails above, it is noted that after the aerosols enter the nose, their trajectories are solely determined by drag and inertia. Without external control, most of these aerosols will be blocked out by the labyrinthine nasal passages. This following section reviews the experimental and

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computational studies our laboratory performed in order to find more efficient and feasible targeted drug delivery methods to the olfactory region and paranasal sinuses. These included point drug release, electric guidance, magnetic control, and pulsating aerosols, individually and combined.

Methods

A hollow nose cast with a constant wall thickness was developed with an image-based nasal airway model [12]. The cast was separated into multiple sections to quantify sub-regional deposition fractions (Figure 1). Step-shaped grooves were built at the ends of each section for sealing and assembly purpose [13,14]. The efficiency of olfactory delivery for different drug release positions, with or without electric and magnetic fields, and

pulsating flow were studied [15]. For *in vitro* tests of point drug release (i.e., releasing particles into a small point within the nostril as opposed to the entire nostril), nozzles or adaptors were used to focus the nebulizer output. Three types of nebulizers, vibrating mesh, ultrasound, and jet [16] were studied. In the *in vitro* tests of electric-guided delivery, a re-engineered powder coating system (Nolensville, TN) was utilized to charge the dry powder. A DC power supply charged insulated copper plates were used to generate electric fields. Two nasal delivery methods were considered, one being the normal breathing (unidirectional) and the other being bidirectional [17,18]. An electronic scale (Sartorius) with a precision of 0.01 mg was used to measure the aerosol mass. Sar-Gel was used to visualize the local deposition of the nasal sprays and nebulized droplets [19-21].

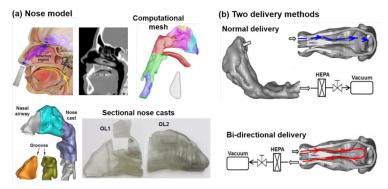


Figure 1: Nose model and experimental methods: (a) computational and in vitro nasal airway models, and (b) normal and bi-directional delivery techniques.

For computational modeling, particle motions were tracked using the Lagrangian approach in Comsol:

$$\frac{d\left(m_{p}v_{i}\right)}{dt} = \frac{f}{\tau_{p}C_{c}}m_{p}\left(u_{i}-v_{i}\right) + F_{i,Lift} \cdot + F_{i,Electrophoretic} + F_{i,Magnetophoretic} + F_{i,Acoutophoretic}$$
(1)

where u_i and v_i are velocities of the airflow and particle, respectively, and f is the drag coefficient [22]. The particle motion is a function of all external forces applied on the particle, which includes drag force, Saphmann lift force, and electro-, magneto-, and acousto-phoretic forces. The electrophoretic force is calculated as $f_{i,Electrophoretic} = neE$, where n is the particle charge number, e is the elementary charge, and E is the intensity of the electric field [23]. The magnetophoretic force is calculated as $F_{i,Magnetophoretic} = (\mu_0 m_{eff} \cdot \nabla) H$, where H is magnetic intensity, and μ_f and μ_p are the magnetic permeability of the fluid and particle [24,25]. m_{eff} is the induced magnetization and proportional to d_p^3 . The acoustophoretic force is proportional to $-\nabla (d_p^3 P^2)$ [26]. More details of model reconstruction and numerical methods using Comsol can be seen in a recent video article from our lab [23].

Results

Electric guidance

The inhalation device with electric guidance consists two

components: an aerosol generation/charging module and a headmounted brace [27]. Electrodes are embedded in the nasal mask, which is anchored by the brace relative to the patient nose (Figure 2a). One conceptual design of the aerosol generation/charging device is depicted in Figure 2b with a nebulizer, an electric charger, and a nozzle for point-release. Figure 2b shows a prototype manufactured by a 3D printer (Object 30 Pro). Desirable parameters for such a design should include small particle size (<4µm), low exiting velocity, and high electric charge for optimal electric guidance [28-30]. Numerical modeling of olfactory deposition was first conducted for scenarios of point- or entire-nostril release with and without electric guidance. With a proper electric field, 3.7% of aerosols released from the entire nostril deposited in the olfactory region, in contrast to 0.06% in the absence of electric guidance (Figure 2c). Point release further enhanced olfactory delivery by two orders of magnitude. The majority (90% in the optimal scenario) of inhaled aerosols were bypassed the valve and turbinate and guided to the olfactory region (red ellipse) (Figure 2c). Figure 2d compares experimental particle deposition patterns without and with electric charges using powder. Similarly, most particles were trapped in the anterior nose without electric guidance and only very few particles reached the olfactory region. However, Electric guidance significantly improves olfactory delivery (blue dashed ellipse, Figure 2d) with a 5.2-fold enhancement for normal (unidirectional) breathing and a 3.0-fold enhancement for bi-directional (Figure 2d).

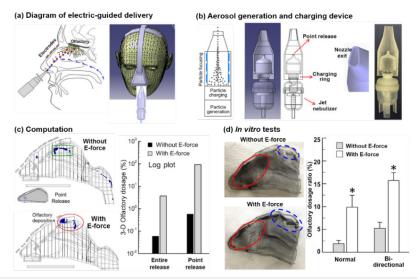


Figure 2: Targeted olfactory delivery with electric guidance: (a) diagram of electric-guided delivery, (b) aerosol generation and charging device, (c) computed olfactory deposition with/without electric guidance, and (d) in vitro experiments with/without electric guidance.

Magnetic guidance

The feasibility of magnetic particle guidance was first evaluated in a two-plate channel (H: 10mm, L: 150mm) with permanent magnets on the outside edges [31]. Particles are composed of drug and iron nanoparticles with a relative magnetic permeability of 700, density 1500kg/m³, and a particle size of 15µm. In the absence of a magnetic force, particles follow parabolic paths due to gravity (Figure 3a). By varying the strength of the magnetic field, an appropriate intensity was found which can achieve an effective control of the particle motion. For instance, guiding particles to move horizontally instead of settling by counteracting the gravity (layout 1) or targeting particles to a specific site by applying a strong attraction force (layout 2). It is noted that the resultant magnet field was non-uniform, being stronger near the magnet bars but quickly decreasing in strength away from them. To test the magnetic control in olfactory targeting, magnet bars are put outside the nasal passage to produce a nonuniform magnetic field

(Figure 3b). With the point release and an appropriate magnetic field, a deposition fraction of 45% was predicted (Figure 3b & 3c). In contrast, only a small fraction (1.2%) of particles was predicted to deposit in the olfactory region when released from the entire nostril, even with an optimal magnetic field (Figure 3b). The effectiveness of magnetic control also highly depends on the particle sizes. As shown in Figure 3c above, particles only sized between 10-20µm can be effectively guided for olfactory targeting. Insignificant olfactory dosing occurs when the particle diameter is smaller than 10μm or larger than 20μm. Particles smaller than 10µm have negligible responsiveness to the magnetic field, while particles larger than 20µm will be lost in the anterior nose due to high inertia. It is reminded that the magnetic force on a particle increases with the third power of the particle diameter (d_n^3) , as opposed to the first power of the magnetic field strength. In this study, the optimal olfactory deposition is achieved for particles in 13-17µm [31,32].

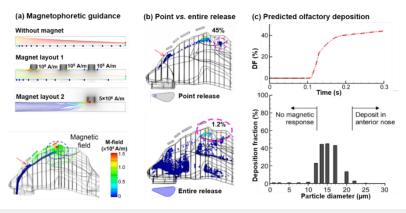


Figure 3: Targeted olfactory delivery with magnetic guidance: (a) magnetophoretic guidance in a two-plate channel, (b) comparison of particle deposition patterns between point release and entire-nostril release, and (c) numerically predicted olfactory deposition.

Discussion

In this paper, we reviewed a series of attempts of our lab to enhance targeted olfactory drug delivery region. Both experimental and numerical tests were performed to this objective by evaluating different mechanisms of particle control in the nose. Improved olfactory dosages were observed with strategies of drug point release, electric, and magnetic guidance, but at varying levels. It is practical to attain clinically significant doses by using a combination of the point-release technique and the electric-guidance of charged particles. In comparison to conventional inhalation devices, the drug point-release approach significantly reduced the drug losses in the valve and turbinate region. However, even at its optimal delivery efficiency (\sim 6.7%), the point-release technique alone still falls short of the needs of the direct nose-to-brain drug delivery with effective outcomes and no noticeable adverse side-effects. Active controls of particle motion with an electromagnetic or acoustic field have been numerically demonstrated to dispense enhanced doses to the targeted sites. Complimentary in vitro tests also demonstrated the potential of electric guidance; an olfactory delivery efficiency of 16% was measured with charged particles and bi-directional nasal delivery. Future studies are needed to consider the intra-subject and inter-subject variability in olfactory delivery efficiencies [33,34].

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