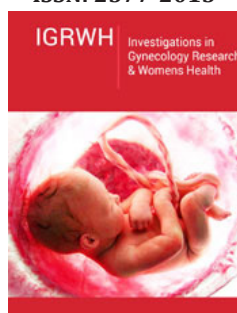


Main Molecular Mechanisms of Fluconazole Resistance in *Candida albicans* and its Pathogenicity in Vulvovaginal Candidiasis

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Abstract

Introduction: CVV is the most common fungal infection in women of childbearing age. Fluconazole is the main antifungal drug used for the treatment of candidiasis; however, resistance to this pharmacological agent is known to be increasing.

Objective: To describe the main molecular mechanisms involved in fluconazole resistance in *Candida albicans* species and to determine its role as the main causative agent of CVV.

Methods: An integrative review was performed under the PRISMA methodology and categories of analysis were established to describe the mechanisms of resistance: Ergosterol biosynthesis and efflux pumps. The other category was *Candida* as a causal agent of CVV.

Results: The molecular resistance mechanisms expressed by *C. albicans* are mainly overexpression and point mutation of the *ERG11* gene, followed by overexpression of the *ERG3*, *CDR1*, *CDR2* and *MDR1* genes. The morphological modification of yeasts to hyphae is the main mechanism responsible for the change from commensal germ to the pathogen in the vulvovaginal mucosa.

Conclusion: *Candida albicans* is currently the most important pathogen in humans, due to its high rate of colonization and mortality. The literature has focused on describing gene expression and highlights the need for other techniques to evaluate mutations, which may provide greater specificity and relevance in the phenomenon of fluconazole resistance.

Keywords: *Candida albicans*; Vulvovaginal candidiasis (CVV); Fluconazole; Fungal drug resistance

Introduction

Candida spp are commensal organisms found in healthy hosts as part of the normal microbiota, mainly of the intestine, skin, oropharyngeal cavity, and genital tract. Their epidemiology is variable according to geographical location and groups of affected individuals. Predisposing factors such as immunosuppression, prolonged use of antimicrobial agents, long hospital stays, and inadequate management of therapy are important in the pathophysiology of infection by this yeast [1-4]. *Candida albicans* is the most studied member of the genus due to its high colonization rates worldwide in immunosuppressed patients, its significant importance as a cause of Healthcare-Associated Infections (HAI), systemic infections leading to death, and its significant role as the main causative agent of Vulvovaginal Candidiasis (CVV). In relation to the above and upon reviewing the literature, it was identified that the resistance of this microorganism to fluconazole has been studied more frequently in India, China, the United States and Brazil [1-6]. CVV is the most common fungal infection in women of childbearing age, it is estimated that it affects 70-75% of these women at least once in

their lifetime; and that approximately 5-8% of these women experience recurrent candidiasis; additionally, a percentage between 25-50% of these cases may not manifest clinically, which is called asymptomatic colonization by this fungus. *C. albicans* has been recognized as the main species causing this clinical picture with an incidence rate of 85-90%; however, other non-*albicans* *Candida* species, such as *Candida parapsilosis*, *Candida krusei*, *Candida tropicalis*, *Candida glabrata* and *Candida dubliniensis* have become the focus of current research, due to their poor response to treatment and even the development of resistance to the main antifungal agents [3-7].

Several studies have explored the association between candidiasis and preterm delivery, finding that 50% of all preterm deliveries are caused by an ascending genital tract infection, whether due to bacterial, fungal, or parasitic etiology, where *C. albicans* is an important causal agent of this clinical picture [4,7-10]. Drugs used in the treatment of mycosis are classified according to the damage they can cause to the cell: fungistatic, which are those that inhibit its growth, and fungicides that cause lysis of the fungus [11-14]. Due to the growing phenomenon of resistance of *C. albicans* to fluconazole, attempts have been made to elucidate the molecular mechanisms involved in this resistance in order to have a solid basis for the search for improvements in the therapy of infections by this fungus.

Mechanisms have been described such as overexpression of the *ERG11* gene, responsible for coding the enzyme *lanosterol 14 α-demethylase*, which leads to a structural change of the enzyme and subsequently translates into an inability to bind fluconazole to its active site, generating therapeutic rejection. Mutations in this gene have also been related to this phenomenon [15-17]. The overexpression of genes such as *CDR1*, *CDR2*, and *MDR1* have also been related to the phenomenon of resistance by causing an overproduction of efflux or extrusion pumps, which leads the cell to expel the antifungal agent to the outside [13]. The implication of the *ERG3* gene in the phenomenon of resistance is an important topic of study at present, since it is expected that its activity leads

the cell to take alternate routes in the biosynthesis of sterols and in turn gives it tolerance to methylated sterols, which is understood as a rejection in the action of fluconazole, some point mutations and higher levels of expression of this gene have also been reported that could be related to the phenomenon of resistance to azoles [13].

Methodology

An integrative search based on the PRISMA method was conducted in the PubMed database, starting from the research question, what are the molecular mechanisms involved in *Candida albicans* resistance to fluconazole and what is its role as a causative agent of vulvovaginitis? The terms used were *Candida albicans*, mechanisms resistant, fluconazole (DeCS) // *Candida albicans*, mechanisms resistant, fluconazole (MeSH) in combination with the Boolean operator AND in "all fields". Two filters were applied in the advanced database search, from 2012 to 2022 and in humans in order to limit to the most up-to-date output. The search operation was ((*Candida albicans*) AND (mechanisms resistant) AND (fluconazole)) in June 2022. The term vulvovaginitis was not included, due to the limited number of articles found using this combination, so studies related to vulvovaginal candidiasis were selected by advanced searches with the combination of terms: *Candida albicans* and vulvovaginitis.

A total of 327 articles were found, the first inclusion criterion considered was that the terms *Candida albicans*, vulvovaginal candidiasis, molecular mechanisms and fluconazole or any drug belonging to the azole family, should be present in the title or abstract, thus 79 articles were linked to the Zotero bibliographic manager (<https://www.zotero.org/>). Subsequently, we began reading the abstracts and included articles that provided, in addition to molecular and experimental data, some significant epidemiological figures. Articles that did not address issues related to trials of new substances for the treatment of *Candida albicans* infections were excluded. Following the duplicate review, a total of 43 articles related to molecular mechanisms of resistance and 33 additional articles on vulvovaginal candidiasis were identified for review.

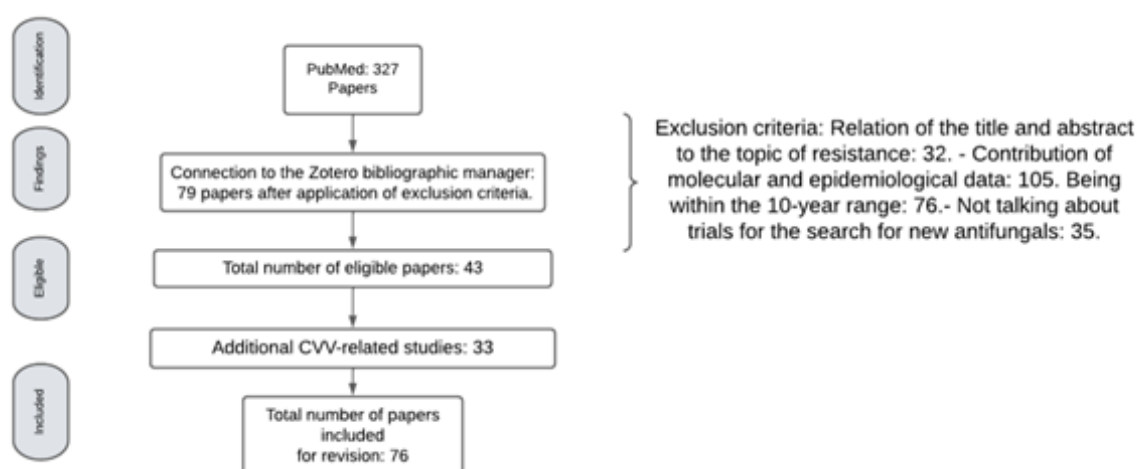


Figure 1: Paper review process based on the PRISMA method [19].

For this review, three molecular mechanisms involved in the phenomenon of resistance of *Candida albicans* to fluconazole were determined: Efflux pumps, changes in the therapeutic target by mutation or overexpression of genes and changes in the ergosterol synthesis pathways; although some research included did not refer to the three mechanisms together, it was considered that at least one of them provided information on one of them (Figure 1).

***C. albicans* and its role as a pathogenic fungus**

Candida albicans is a member of the microbiota of healthy humans, it is a diploid polymorphic mucosal surface yeast commonly found in the gastrointestinal, respiratory, and genitourinary tract. It generally behaves as a harmless commensal fungus that can become an opportunistic organism in immunosuppressed patients due to the inability of the immune system to fight infection. In immunocompetent patients, abnormal growth of this microorganism may occur due to environmental imbalance, such as pH reduction or changes in the normal microbiota, unleashing its pathogenic capacity. Under normal conditions, *Candida* blastoconidia migrate from the lower gastrointestinal tract to the adjacent vaginal vestibule, similar to the colonization route of *Lactobacillus*; However, *Candida* colonization occurs in much lower numbers, after adherence to the epithelial cells of the vaginal tract their colonization follows a poorly studied course but is influenced by increased estrogen production after menarche which in turn leads to changes in pH and postmenopausal period decline. At the same time, the immuno-inflammatory response of the mucosa is exacerbated due to the presence of a much higher number of yeasts in the area, causing damage [18-21].

Being a commensal pathogen, *C. albicans* has the ability to easily adapt to host environmental conditions even when nutrient bioavailability is very restricted [19]. However, infection by this yeast is variable and depends on the immunological conditions of the individual, whether the individual suffers from chronic diseases such as HIV, cancer, or diabetes mellitus, which represent a progressive deterioration of the immune system that results in the inability of the immune system to control the action of almost any type of microorganism present in the individual; hematological diseases that lead to marked leukopenias, prolonged antimicrobial therapy that can cause deterioration of the normal microbiota, long hospital stays or any other condition that leads to immunosuppression, are predisposing factors for infection by this yeast. *C. albicans* is involved as a causal agent of multiple infectious conditions, from systemic infections such as candidemia or colonization of organs essential for survival to superficial mycoses such as atopic dermatitis, vulvovaginal candidiasis, oral candidiasis, among others [19,21-23].

Pathogenesis of *C. albicans* in mucosal and genital tract infections

The yeast and filamentous phases in *C. albicans* determine its virulence. The change from yeast to hyphae in this fungus is the morphological change responsible for determining its commensal and pathogenic status, giving it the capacity to cause tissue infection and subsequent dissemination [19,24,25]. The main

virulence mechanisms involved in *C. albicans* infection have been described as its ability to evade macrophages, adhesion to host cells, subsequent production of antagonistic enzymes, and the development of clinically significant biofilms [26,27].

In a study published by Kadosh, 2016 it was determined that the virulence factors of *C. albicans* are directly related to post-transcriptional mechanisms underlying an imbalance of the metabolic pathways of that microorganism. The commensal state of the fungus is possible due to a tripartite interaction between yeast, resident microbiota, and host immunity; thus, it was determined that when any of the above is modified, it conditions the passage from yeast to hyphae causing mRNA instability and in turn the translation process, this caused by a reduction of oxidative stress at the transcriptional level, which promotes the change in the morphogenesis of *C. albicans* [19,28-30].

In addition to the molecular patterns exhibited by *C. albicans* virulence, other mechanisms involved in the activity of this fungus *in vivo* in causing infections to have been described, for example the B-glucans in the cell wall induce increased secretion of inflammatory cytokines in the infected host and exacerbate tissue damage Cheng et al. [31]. Other research has reported the ability of this yeast to mask and avoid detection by the host immune system and its potential for monocyte reprogramming, which limits the stimulus on the spleen and minimizes the potential for protection [31-38].

Regarding infections of the genitourinary tract, the most relevant virulence factors reported in the literature have to do with the production of proteins and phospholipases, which cause direct damage to the cells that make up the affected tissue [39,40]. In one study, in which early prenatal monitoring was performed between 15 and 20 weeks of pregnancy and in which pharmacological intervention for asymptomatic candidiasis and trichomoniasis and/or bacterial vaginosis was performed, a 46% decrease in preterm delivery was demonstrated in the participants. Similarly, early pharmacological intervention against *Candida spp.* generated a 49% decrease in the occurrence of preterm delivery, according to the results of a study conducted in New York by Morrison and Cushman, 2007. This highlights the relationship between CVV and preterm delivery, with the importance of timely treatment to prevent it [7,10].

Fluconazole as the main antifungal agent

Fluconazole is the most widely used drug in the treatment of *Candida albicans* infections; it is a triazole antifungal belonging to the azole family whose main objective is to block the conversion of lanosterol to ergosterol [13,41]. The azoles target the lanosterol demethylase enzyme (lanosterol 14 α -demethylase) belonging to the cytochrome p450 enzyme system of the fungus encoded by the ERG11 gene; its main pharmacological action consists of blocking this enzyme, which leads to the interruption of the downstream reactions that give rise to the biosynthesis of ergosterol [13,42]; Specifically, the free hydrogen atom of one of the fluconazole rings binds to an iron atom within the heme group of the enzyme, which prevents the activation of oxygen and, in turn, the demethylation

of lanosterol [43]. The enzymatic inhibition is highly toxic for the fungal cell, due to the fact that the methylated sterols accumulate at the level of the cell membrane and stop the growth of the fungus; this is why fluconazole is considered a fungistatic and not fungicidal drug, this allows that when *C. albicans* is in contact with the treatment for prolonged periods of time it is capable of exhibiting resistance [13,44].

The development of several mechanisms of resistance by *C. albicans* to fluconazole has been reported in the literature; among the mechanisms identified, mutations or overexpression of genes involved in ergosterol biosynthesis and of genes encoding efflux pumps or drug transporters are involved [13] (Table 1).

Table 1: Main molecular mechanisms of fluconazole resistance.

Main Molecular Mechanisms of Fluconazole Resistance	
<i>Ergosterol biosynthesis</i>	Efflux pumps or drug transporters
Changes in the ERG11 gene	Changes in the <i>CDR1</i> gene
Changes in the ERG3 gene	Changes in the <i>CDR2</i> gene
	Changes in the <i>MDR1</i> gene

Mechanisms related to changes in the ERG11 gene

The literature has extensively documented the participation of the ERG11 gene in the resistance of *C. albicans* to fluconazole; mutations have been described in the coding region of the gene that are related to susceptibility to this drug [15,16]. These mutations lead to changes or substitutions in the amino acids that alter the structure and function of the protein, which results in the azole binding site to the pharmacological target being much less stable and efficient [13,17,45].

More than 140 amino acid substitutions have been described in *C. albicans* related to the ERG11 gene, which highlights the high vulnerability of the enzyme to structural changes [46,47]. For example, changes have been identified in amino acids 105-165, 266-287, and 405-488 that code for lanosterol 14 α -demethylase, which are directly related to the resistance phenomenon [45,48-50].

The study by Mario F et al. 2010 investigated the impact of 10 specific amino acid substitutions found in clinical isolates of fluconazole-resistant *C. albicans* and determined by modeling the coding proteins that these substitutions had an impact on fluconazole resistance by preventing drug binding to the catalytic site of the enzyme or to a site on the heme interaction surface in resistant strains; however, this could not be determined in sensitive strains [45,51]. This suggests but does not confirm the cause of fluconazole resistance.

Another mechanism of resistance related to the ERG11 gene reported in the literature has to do with its overexpression, caused by the amplification of transcription during exposure to azole [52-54]. However, its role is not fully defined and its true relationship with the phenomenon of resistance by *C. albicans* to fluconazole remains to be determined, as in several related studies such as the

one conducted by Victoria K et al. 2012 in which they evaluated the expression of the ERG11 gene in *Candida* species causing vaginal infections, and in which the species with the highest percentage of incidence corresponded to it was found that the overexpression of the gene was also present in sensitive and dose-dependent sensitive strains, which suggests that it is not the mechanism responsible for resistance [55,56]. Something similar is evident in the study carried out by Melena A et al. 2015 in which the molecular mechanisms of resistance to fluconazole in clinical isolates of *C. albicans* from India were evaluated and no relationship was found with the overexpression of the ERG11 gene and the resistance of the fungus, but it was determined that the main cause of resistance in these strains corresponds to overproduction of efflux pumps [57,58].

Alterations in ergosterol biosynthesis (ERG3)

The development of alternate pathways in the biosynthesis of sterols in the cell membrane of *C. albicans* that result in the development of resistance to fluconazole has been described [13]. This has been attributed to mutations or loss of function of the ERG3 gene, which leads to inactivation of the enzyme 5,6 sterol-desaturase and in this way, the cell avoids the production of toxic methylated sterols in the presence of azoles and minimizes the damaging effect of fluconazole at the cellular level [51,57,59].

In a study by Sanglard et al. [41] it was demonstrated that mutations related to ERG3 by deletions cause azole resistance in *Candida albicans* strains, as well as the overexpression of the gene's mRNA levels. In this same study, it was suggested that a possible mechanism involved in the resistance phenomenon is that the overexpression of ERG3 increases the synthesis of 5,6 sterol-desaturase and, consequently, increases the non-toxic 14 α -methylsterol, which accumulates at the membrane level as an intermediate product of the transformation of 14 α -methylergosterol-824 diene-3 b, 6 α -diol; the latter behaves, under normal conditions of susceptibility, as a cytotoxic compound, which promotes the destruction of the membrane and accelerates cell death; however, when an intermediate product accumulates and is not transformed into the mentioned cytotoxic metabolite, the capacity of resistance is granted to the cell [60,61].

In Feng et al. [61] conducted a study where they analyzed the regulatory role of the ERG3 and Efg1 gene in isolates of *C. albicans* isolates from patients diagnosed with vulvovaginal candidiasis; they found point mutations when sequencing the gene, two of them were nonsense mutations [C657G (W219C) and C1055T (R352H)], one was a silent mutation [T342G, T435C, C441T and T1047C] and finally one was a mutation in a termination codon [T384C (Stop128 W)], which was responsible for encoding an additional amino acid, which favors the generation of resistance; In addition, they determined that ERG3 gene mRNA levels were much higher in azole-resistant strains [62].

Overexpression and mutation of CDR1, CDR2, and MDR1 genes

In addition to the mechanisms related to the ergosterol biosynthesis pathways, there are others related to efflux pumps or

drug extrusion from the interior of *C. albicans* to the exterior [13]. The purpose of this resistance mechanism is basically to prevent the intracellular accumulation of the drug and with this, the effectiveness as a fungistatic through its action on the drug target. *C. albicans* has two main classes of efflux pumps or efflux proteins: the Major Facilitator Superfamily (MFS) and the ATP-Binding Cassette Transporter (ABC) superfamily [54].

The proteins belonging to the ABC superfamily are characterized by having a wide substrate specificity and depend on ATP hydrolysis for energy production and therefore their function [63]. 28 of these proteins have been identified in *C. albicans* and only 2 are well characterized as causing resistance to fluconazole [64,65]. The genes responsible for the production of this mechanism are CDR1 and CDR2, in which it has been identified in several studies that their overexpression leads to a considerable decrease in susceptibility to fluconazole [21,66]. In a study published by Babak P et al. 2017, the expression of efflux pumps in fluconazole-resistant *C. albicans* isolates was evaluated and overexpression of CDR1 and CDR2 genes was determined in 4 of the 20 isolates evaluated [67].

In contrast, the MFS superfamily has a much narrower range of substrate specificity and is driven primarily by the electrochemical strength of proton exchange [39]. Approximately 95 MFS-type transport proteins have been described in *C. albicans*, but only one has been linked to fluconazole resistance, Mdr1p [21]. Several studies have observed overexpression of MDR1, which is the coding gene for the MFS-type Mdr1p proteins, in fluconazole-resistant clinical isolates, and its consequent deletion greatly reduces resistance levels; thus, its fundamental role in this phenomenon has been demonstrated [21,54,66]. Pinto A et al. [6] reinforces the previously stated concept by evaluating the MFS-mediated resistance profile of *Candida albicans* clinical isolates from a tertiary hospital in the Southwest of Brazil, in which they determined the responsibility of MFS-type transporters in fluconazole resistance in fluconazole-resistant *C. albicans* strains [68].

Discussion

Candida albicans is a pathogenic fungus in humans that has been the main subject of multiple investigations worldwide because of its high mortality rate in immunosuppressed patients, ability to develop systemic mycoses and healthcare-associated infections, localized mycoses such as CVV, and above all, its resistance to antimicrobial therapy [3,5-7]. A large number of studies worldwide have focused on elucidating the phenomenon of resistance to fluconazole attributed to this fungus, due to the imminent need to understand the mechanisms and in general the dynamics associated with resistance, in order to improve treatment regimens and thus have an impact on mortality rates in infections caused by this yeast. The largest number of studies related to the molecular mechanisms of resistance expressed by *C. albicans* to fluconazole have been carried out in India and Europe, others in the United States and Brazil; however, there is a need to study in detail in various regions of Latin America on the molecular characteristics expressed by this fungus and which are related to resistance to fluconazole, which is frequently used for intervention; In this way, it will be possible to

determine with greater precision if the resistance mechanisms are similar or different to those reported in other regions since what is known from research on this phenomenon is that even if it is the same species, each strain has a different molecular expression [5,10,57,58].

Three major molecular mechanisms involved in the resistance of *C. albicans* to fluconazole have been identified. *albicans* to fluconazole; studies so far are not definitive in explaining them, for example, the main mechanism described in the literature is related to the ERG11 gene in which point mutations or overexpression are suggested, leading to a change in the structure of the drug target, which ultimately results in loss of affinity of the drug to the target enzyme; However, the literature also reports sensitive strains in which higher levels of gene expression have been determined, calling into question its role as the only mechanism that causes [45,48-50,69]. Therefore, it is necessary to carry out more studies that evaluate in greater detail these molecular characteristics associated with resistance in both sensitive and resistant strains and thus, to better understand the biological phenomenon, as well as to determine if one is more determinant than the other; additionally, it is necessary to establish if the resistance mechanisms are similar or different in different regions of the world.

Another important mechanism described in the literature is related to the overproduction of efflux pumps or drug extrusion from the fungal cell, which leads to therapeutic rejection; however, there is still a long way to go, because although there are a large number of studies that have focused their interest on the analysis of the expression of the genes responsible for coding for the transport proteins CDR1, CDR2, and MDR1, there is little scientific evidence that describes in detail the possible mutations that can present these genes [54,64,65,67].

Finally, regarding the third mechanism described in the literature, which refers to the use of alternative pathways for sterol biosynthesis and is related to the activity of the ERG3 gene for the inactivation of the enzyme 5,6 sterol desaturase, although some point mutations have been determined, it has not been widely studied in terms of the phenomenon of molecular resistance of *C. albicans* to fluconazole [60-62].

Conclusion

Candida albicans is currently the most important pathogenic fungus in humans due to its high colonization and mortality rates in multiple types of infections. Regarding its role as the main causal agent of CVV, it has been reported as the species with the highest prevalence and has been directly related to recurrence and therapeutic failure; of interest, CVV is associated with abortion and preterm delivery, and prophylaxis with fluconazole has shown significant efficacy in reducing this complication, so it is important to study the phenomenon of resistance so that the intervention with this drug remains in force or other alternatives are considered. Although resistance mechanisms related to changes in the expression and some mutations in the ERG11, ERG3, CDR1, CDR2, and MDR1 genes have been studied with special interest,

their unique or dominant participation in the phenomenon of resistance to fluconazole has not been determined with total certainty, since these biological changes have also been found in susceptible strains; This suggests that further studies are required to investigate whether there are complementary and dominant biological phenomena to generate resistance, as well as the sequences responsible for the changes in the genes associated with resistance, in order to deepen the understanding of the molecular basis in a more specific way.

As can be evidenced, the literature that refers to the molecular characteristics of *C. albicans* associated with resistance to fluconazole, is extensive in the description of the expression and, less frequently, in the description of the mutations of the genes involved in this phenomenon; the latter is a very important aspect because it helps to understand in a more detailed way the particular mechanisms that confer resistance to the genus *Candida*; From the above, it is evident the need for further studies whose purposes include the detailed description of the molecular mechanisms associated with resistance particularly to fluconazole; in addition, research on this phenomenon in other regions should be conducted to establish whether resistance also involves changes in the genes already reported in other parts of the world or is related to other mechanisms; for example, in Colombia, only one study has been done on *C. albicans* and it is precisely the research that provides elements on which physicians can analyze to propose the best pharmacological alternative in terms of intervention against *Candida spp.* infections.

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