

# The Relationship Between Sleep Apnea Syndrome and Ascending Aorta Diameter

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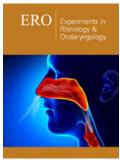
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#### **Abstract**

**Objective:** Obstructive Sleep Apnea Syndrome (OSAS) is known to be associated with cardiovascular disorders such as hypertension, coronary artery disease, and arrhythmia. However, only a few studies have examined its role in aortic dilatation. We aimed to determine the relationship between obstructive sleep apnea syndrome and aortic diameter.

Methods: 53 patients (42 males, 11 females) being of age between 20 and 80 years, who presented to the chest diseases outpatient clinic with symptoms compatible with sleep apnea syndrome included this study. Berlin Sleep Questionnaire and Epworth Sleepiness Scale were used to determine the OSAS risk. Following risk assessment, polysomnography test was performed to confirm OSAS. Apnea-Hypopnea Index (AHI)<5 was considered normal and AHI≥5 was considered to be consistent with OSAS. They were informed about OSAS and its adverse effects on cardiovascular system. Transthoracic echocardiography was used as a noninvasive diagnostic tool to quantify proximal aorta diameter in order to detect aortic dilatation.

**Results:** 40 people were diagnosed with OSAS by polysomnography. 13 subjects did not have OSAS. Obstructive Sleep Apnea Syndrome (OSAS) group had significantly greater sinotubular junction and ascending aorta diameters (p<0.05). Sinus valsalva dilatation was more common among the OSAS group, although this difference did not reach statistical significance.

**Conclusion:** A positive correlation was found between Apnea-Hypopnea Index (AHI) and aortic dilatation. Early diagnosis and effective treatment of obstructive sleep apnea is important to prevent aortic complications.

Keywords: Sleep apnea; Aortic dilatation; Proximal aortic diameter

### Introduction

Obstructive Sleep Apnea Syndrome (OSAS) is a clinical condition characterized by episodes of respiratory apnea during sleep, sleep fragmentation, oxygen desaturation, daytime sleepiness, and sudden episodes of falling asleep [1]. Its role in traffic accidents and workforce loss, in addition to its already known cardiovascular and neurological complications, has recently made it a subject of intensive research [2,3]. The main cardiovascular disorders complicating obstructive sleep apnea are Hypertension (HT), Coronary Artery Disease (CAD), Metabolic Syndrome (MS), cardiac arrhythmias, left Heart Failure (HF), pulmonary

hypertension, right heart failure, polycythemia, stroke, and sudden death. OSAS affects 50% of patients with hypertension, 25% of patients with chronic heart failure, 30% of patients with acute coronary syndrome, and 60% of patients with stroke [4-6]. Increased negative intrathoracic pressure induced by inspiratory effort during repetitive apnea periods at sleep exerts a mechanical stress on aortic wall, contributing to the emergence and progression of aortic disorders such as aortic aneurysm and dissection. It has been reported that this may also be related to intermittent hypoxia and reoxygenization [7]. Many studies to date have investigated the relationship between, OSAS, hypertension and coronary artery disease. Herein, we aimed to study the relationship between obstructive sleep apnea syndrome and aortic diameter.

#### Method

This study involved a total of 53 patients who presented to the chest diseases outpatient clinic with symptoms compatible with sleep apnea syndrome between January 2015 and April 2016. Berlin Sleep Questionnaire and Epworth Sleepiness Scale were used to determine the OSAS risk [8]. Following risk assessment, polysomnography test was performed to confirm OSAS. The study protocol was reviewed and approved by the Ethics Committee (No: 2014/5 and Date: 20/06/2014). Written informed consent was obtained from all the participants. All patients were admitted to the hospital for at least 8 hours and they slept for at least 4 hours for the polysomnography test, which was used to obtain the following data: apnea hypopnea index and oxygen desaturation index, EEG, ECG, EMG, chest, abdomen, eye, and jaw motion sensor recordings and snoring sensor recordings. Patients who underwent polysomnography after enrollment were informed about OSAS and its adverse effects on cardiovascular system. Transthoracic echocardiography was used as a noninvasive diagnostic tool to quantify proximal aorta diameter in order to detect aortic dilatation. The inclusion criteria were being diagnosed with OSAS by polysomnography and being of age between 20 and 80 years. Patients with a previous history of a ortic disease including an eurysm, dissection, or cardiac surgery, connective tissue disorders known to cause aortic dilatation or aneurysm (e.g Marfan, Behcet, Ehlers Danlos syndrome) and severe infection were excluded. All enrolled patients had their demographic characteristics recorded. Body Mass Index (BMI) and Body Surface Area (BSA) were calculated using the following formulae: BMI=(Weight kg) / (Height cm)² and BSA= (Weight 0.425 x Height 0.725) x 0.007184. History of hypertension, diabetes, hyperlipidemia, coronary artery disease, heart failure, and smoking were recorded. Systolic and diastolic blood pressures and heart rhythm were recorded. The Apnea Hypopnea Index (AHI) values obtained from polysomnography were interpreted according to (Table 1). AHI<5 was considered normal and AHI≥ 5 was considered to be consistent with OSAS.

Table 1: The severity of OSAS based on the AHI.

AHI: 0-4	Normal
AHI: 5-15	Mild OSAS
AHI: 16-29	Moderate OSAS
AHI: ≥30	Severe OSAS

### **Echocardiography**

All echocardiographic examinations were performed with Vivid-7 PRO echocardiography device using M4S 2.0MHz harmonic cardiac transducer, with patients in the left lateral decubitus position. Prior to the procedure, blood pressure and heart rate were recorded. The measurements were done in compliance with the "Recommendations for Cardiac Chamber Quantification by Echocardiography in Adults: An Update from the American Society of Echocardiography and the European Association of Cardiovascular Imaging" published by Lang RM et al. [9]. Two dimensional and M-mode images from parasternal short-axis windows were used to record LVEDD and LVESD. Left atrial diameter was measured perpendicular to aortic root at the end of left ventricular systole while the aortic valves were maximally open in parasternal short axis window. Interventricular Septal thickness (IVS): interventricular septal thickness was measured approximately 2cm below the aortic valve at end-diastole when the mitral valve was open using 2-D echocardiography in parasternal long-axis window. Left Ventricular Ejection Fraction (LVEF) was quantified by the modified Simpson's method from the apical 4-chamber view. Maximal values of mitral E and A waves were measured to calculate the E/A ratio.

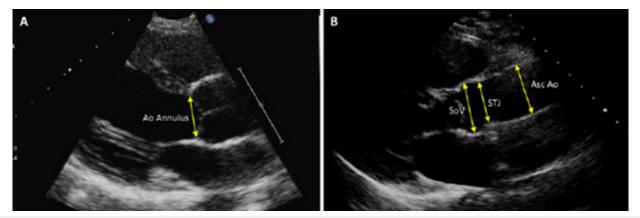


Figure 1: Junction diameter; AscAo: Ascending aorta diameter.

Proximal aortic diameter was recorded from 4 separate sites as described below (Figure 1). The diameters of sinus of valsalva, sinotubular junction and ascending aorta were quantified at end-diastole from the leading edge to leading edge technique using 2-D echocardiography in the parasternal long-axis window. The annular diameter was recorded between the tips of the right cusp

and non-coronary aortic cusps from the inner edge to inner edge at mid-systole. Normal aortic reference values were determined on the basis of sex, BMI, and age for all patients according to previously published data (Figure 2) [10]. All images were taken and recorded by a cardiologist participating in the study who applied the same protocol for all patients.

Table 2 Reference ranges for aortic measurement	ts indexed
to BSA separately for gender and age decade	

	Women	Men	Women	Men
Age (y)		ulus (mm/m²)		nm/m²)
<30	11.4 ± 1.1	11.4 ± 1.2	15.5 ± 1.5	15.3 ± 1.8
30-39	11.7 ± 1.0	11.4 ± 1.1	16.5 ± 1.6	15.7 ± 1.3
40-49	11.7 ± 1.0	11.5 ± 0.9	16.8 ± 1.4	16.6 ± 1.5
50-59	12.0 ± 0.9	11.5 ± 0.9	17.9 ± 1.6	16.8 ± 1.7
60-69	11.7 ± 1.1	12.1 ± 1.0	17.5 ± 1.7	17.8 ± 1.6
>70	11.6 ± 1.1	12.0 ± 1.0	18.2 ± 1.9	18.1 ± 1.7
All	11.7 ± 1.0	$11.6 \pm 1.0$	17.0 ± 1.9	16.6 ± 1.8
	STJ (mm/m²)		Ascending aorta (mm/m²)	
<30	13.5 ± 1.4	12.7 ± 2.3	15.1 ± 1.6	14.3 ± 1.6°
30-39	14.3 ± 1.5	$13.3 \pm 1.3$	16.4 ± 1.8	14.5 ± 1.4"
40-49	14.6 ± 1.2	14.1 ± 1.5°	16.4 ± 1.4	15.5 ± 1.5°
50-59	$15.7 \pm 1.3$	14.1 ± 1.5	18.0 ± 1.4	16.2 ± 1.6°
60-69	14.7 ± 1.6	15.1 ± 1.6°	17.6 ± 1.7	17.3 ± 1.7
>70	$15.5 \pm 2.0$	14.7 ± 1.6	18.2 ± 3.3	$17.5 \pm 1.4$
All	14.7 ± 1.7	13.9 ± 1.8	16.9 ± 2.3	15.7 ± 1.8
	Aortic arch (mm/m²)		Angle (*/m²)	
<30	10.7 ± 1.0	10.1 ± 1.3°	86 ± 9	75 ± 9*
30-39	11.6 ± 1.6	10.8 ± 1.3*	80 ± 8	67 ± 9°
40-49	11.5 ± 1.2	11.3 ± 1.3	78 ± 9	63 ± 7°
50-59	12.7 ± 1.1	11.3 ± 1.2°	79 ± 9	61 ± 8°
60 <del>-69</del>	12.5 ± 1.4	$12.0 \pm 1.1$	74 ± 9	65 ± 9*
>70	12.7 ± 1.2	$12.2 \pm 1.3$	71 ± 9	59 ± 8°
AII	11.9 ± 1.5	11.2 ± 1.4	78 ± 10	65 ± 10

Figure 2: Normal aortic reference diameters determined by age, sex, and BMI.

#### Statistical analyses

All statistical analyses were carried out using Windows XP-SPSS 2007 statistical software. The descriptive statistics included mean, standard deviation, and frequency. Comparison of quantitative variables was done with Mann Whitney-U test. Comparison of normally distributed qualitative variables was done with Chi-Square test. Pearson correlation analysis was used to test possible correlations between normally distributed variables. A p value of less than 0.05 was considered statistically significant for all statistical analyses.

# Results

This study involved a total of 53 patients who had symptoms of obstructive sleep apnea and presented to Department of Chest Diseases between January 2015 and April 2016. 42 (79.2%) were men and 11 (20.8%) were women. The participants were divided into 2 groups; one of which contained 40 patients diagnosed with OSAS by polysomnography and 13 subjects who were free

of OSAS. 80% were male in the OSAS group and 76.9% were male in the control group, with the two groups having a similar gender distribution (P=1.00). They were comparable in terms of the frequency of comorbidities. The OSAS group had a higher rate of hypertension, coronary artery disease, heart failure, and COPD, albeit it did not reach statistical significance because of lower number of cases (Table 2 & 3). Summarizes the findings of physical examination in both groups. The groups did not significantly differ from each other in regard to blood pressure and pulse rate (p>0.05). The echocardiographic findings were shown on (Table 4). OSAS group had significantly greater sinotubular junction and ascending aorta diameters (p<0.05). Sinus valsalva dilatation was more common among the OSAS group, although this difference did not reach statistical significance (P=0.74) (Table 4). AHI was significantly and positively correlated with systolic blood pressure (P=0.004), diastolic blood pressure (P=0.001), BMI (P=0.000), IVS thickness (P=0.005) and ascending aorta diameter (P=0.008). However, other echocardiographic findings were not significantly correlated with AHI (Table 5).

 
 Table 2: Comparison of the demographic characteristics of
 Table 5: Correlation of various parameters with AHI.
 the study groups.

	OSAS Group	Control Group	
	MEAN±SD	MEAN±SD	p
Age (years)	51.7±14.1	46.3±14.7	0.179
BMI(kg/m²)	33.4±7.3	30.2±4.8	0.251
BSA(m <sup>2</sup> )	2.1±0.3	2.0±0.2	0.984
AHI	35.0±31.6	2.2±1.2	0
	n(%)	n(%)	р
Smoking	20 (%50)	6 (%46.2)	0.81
DM	15 (%37.5)	5 (%38.5)	0.95
НТ	20 (%50)	4 (%30.8)	0.338
CAD	9 (%22.5)	0 (%0)	0.092
HF	5 (%12.5)	0 (%0)	0.317
COPD	9 (%22.5)	1 (%7.7)	0.419

(BMI: body mass index, BSA: body surface area, AHI: Apnea-Hypopnea İndex, DM: diabetes mellitus, HT: Hypertension, CAD: Corornary Artery Disease, HF: Heart Failure, COPD: Chronic obstructive pulmonary disease)

Table 3: Comparison of physical examination findings of the study groups.

	OSAS (n:40)	Control (n:13)	
	MEAN±SD	MEAN±SD	p
Systolicblood pressure (mmHg)	133.4±15.8	126.6±13.1	0.073
Diastolicblood pressure (mmHg)	81.9±10.8	77.3±8.0	0.138
Pulse rate (bpm)	82.0±10.0	84.6±10.0	0.364

Table 4: Comparison of the echocardiographic findings of the study group.

	OSAS (n:40)	Control (n:13)	
	MEAN±SD	MEAN±SD	p
Left Ventricular End-diastolic Diameter(LVEDD)(cm)	4.9±0.4	4.7±0.4	0.345
Left Ventricular End-systolic Diameter(LVESD)(cm)	3.2±.0.5	3.1±0.4	0.468
Interventricular Septum (IVS) (cm)	1.1±0.1	1.1±0.1	0.227
Ejection fraction (EF)(%)	63.1±4.7	64.8±2.4	0.248
Left Atrial (LA) Diameter (cm)	3.7±0.4	3.5±0.4	0.105
Aortic Annulus Diameter (cm)	2.2±0.4	2.1±0.2	0.685
Sinus Valsalva Diameter (SoV) (cm)	3.5±0.4	3.3±0.3	0.074
Sinotubular Junction Diameter (STJ)(cm)	3.1±0.4	2.7±0.4	0.02
Ascending Aorta Diameter (cm)	3.5±0.4	3.1±0.4	0.034

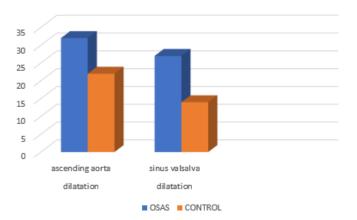
	Correlation with AHI	
	r	р
BMI (kg/m²)	0.567	0
Systolic blood pressure (mmHg)	0.388	0.004
Diastolic blood pressure (mmHg)	0.429	0.001
Left Ventricular End-diastolic Diameter (LVEDD) (cm)	0.11	0.432
Left Ventricular End-systolic Diameter (LVESD) (cm)	0.076	0.591
Interventricular Septum (IVS) (cm)	0.379	0.005
Ejection Fraction (EF) (%)	-0.046	0.742
Left Atrial (LA) Diameter (cm)	0.213	0.125
Aorta Annular Diameter (cm)	0.26	0.06
Sinus Valsalva Diameter (SoV) (cm)	0.138	0.324
Sinotubular Junction Diameter (STJ) (cm)	0.171	0.222
Ascending Aorta Diameter (cm)	0.359	0.008

#### Discussion

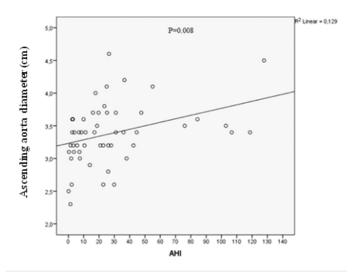
Obstructive Sleep Apnea Syndrome (OSAS) is known to be associated with cardiovascular disorders [4] such as hypertension, coronary artery disease, and arrhythmia [4,6-7]. However, only a few studies have examined its role in aortic dilatation. The aim of our study was to determine the prevalence of aortic dilatation in patients who presented with symptoms of sleep apnea syndrome. Several mechanisms are thought to play a role in the pathophysiology of aortic dilatation in OSAS. One of these mechanisms is increased nocturnal blood pressure and worsening aortic dilatation as a result of increased sympathetic nervous system activity at night. Another mechanism involves an increased mechanical stress on the aortic wall which results from an increased intrathoracic pressure created by inspiratory effort to overcome upper airway obstruction.

In the current study, OSAS patients had significantly greater proximal aortic diameters including aortic annulus and sinotubular junction, and particularly sinus valsalva and ascending aorta when compared to the control subjects (Figure 3). Disorders potentially linked to aortic dilatation (e.g. hypertension, coronary artery disease) and other chronic disorders associated with OSAS (heart failure, diabetes mellitus, COPD) were not significantly more common in the OSAS group. Serizawa N et al. [11] investigated the relationship between OSAS and aortic dilatation in 150 patients. In their study, OSAS, defined as AHI≥10/h, was diagnosed in 73% of patients. Thoracic aorta diameter measured by Computerized Tomography (CT), was positively correlated to AHI(P<0.001) (Figure 4). Similar to their findings, we also found that aortic dilatation was correlated to sleep apnea syndrome. Their study also showed that age and male gender were correlated to aortic dilatation. Although CT provides more accurate aortic diameter measurements than transthoracic echocardiography, it has some important disadvantages such as radiation exposure, cost and

contrast nephropathy; thus, studies using echocardiography are safer for the patients. I another study by Baguet JP et al. [12], it was reported that echocardiographically determined diameter of the aortic root was increased in 156 patients with newly diagnosed OSAS who were free of any cardiac disorder. They also reported that aortic diameter was positively correlated with age and blood pressure. The authors suggested that aortic root diameter was dependent on diastolic blood pressure but not on AHI. Their patients were obese with a mean BMI of 27±3 kg/m<sup>2</sup>, and it is already known that BMI is an independent determinant of aortic diameter. An increase in aortic diameter detected by our study was consistent with the findings of their study. Unlike their study, we quantified aortic diameter from 4 separate sites (annulus, sinus valsalva, sinotubular junction, and ascending aorta). The subgroup analyses revealed that the correlations between those 4 distinct diameters and OSAS were different from one another.



**Figure 3:** Prevalences of ascending aorta and sinus valsalva dilatation of both groups.



**Figure 4:** The scatter diagram of the correlation analysis between AHI and aorta diameter.

Lee LC et al. [13], studied the relationship between OSAS and thoracic aorta diameter in a cohort of men who were at the subacute

phase of acute Myocardial Infarction (MI). They found a positive correlation between thoracic aorta diameter and age, hypertension, and BMI, but failed to demonstrate a significant correlation between OSAS and aortic dilatation. Their study enrolled only men who sustained a myocardial infarction. High incidence of comorbidities in their study such as hyperlipidemia (84%), diabetes mellitus (39%), hypertension (51%) and male gender are also known to be the risk factors of aortic dilatation and aneurysm [14]. Therefore, we believe that they must have influenced the study results. Our study, on the other hand, included patients of both genders who were free of aorta or acute cardiovascular disorders. In contrast to theirs, our study showed a significant correlation between thoracic aortic diameter and OSAS.

Kohler M et al. [15], examined the relationship between OSAS and aortic diameter in 61 patients with Marfan syndrome. They reported a positive correlation between OSAS severity and aortic diameter, indicating that OSAS is an independent risk factor for aortic dilatation in patients with Marfan syndrome. Although we demonstrated that the OSAS patients without Marfan syndrome had a greater proximal aortic diameter than the control subjects, this difference did not reach statistical significance due to the small sample volume of our study.

In a patient with a definitive diagnosis of sleep apnea syndrome, it is still unclear and debated whether the pathophysiology of aortic dilatation is an OSAS-induced increase in intrathoracic pressure that exerts a tensile force on aortic wall with augmented aortic distention or it simply occurs as a result of a hypertension-induced mechanical stress on aorta, which usually accompanies OSAS. The common point of all previous studies on this subject is that they provide evidence of a relationship between OSAS and aortic diameter. Although there exist multiple studies scrutinizing the link between OSAS and cardiovascular disease, only a few studies have specifically examined the possible link between OSAS and aortic dilatation or aneurysm. No study has yet investigated how positive airway pressure affected the change in aortic diameter, need for surgery or mortality. Further studies are needed in this field.

## Conclusion

A positive correlation was found between AHI and aortic dilatation. Early diagnosis and effective treatment of obstructive sleep apnea is important to prevent aortic complications. The follow-up of the patients are still ongoing, and we intend to investigate whether C-pap treatment has any favorable impact on aortic diameter.

# **Limitations of the Study**

One of the main limitations of the present study is the small sample volume of the study population in both groups, a limitation that directly affected its statistical analyses. In order to determine the prevalence of aortic dilatation in OSAS more accurately, studies with larger sample size are needed. Aortic quantification by echocardiography is highly accurate and close to actual values but is less sensitive compared to computerized tomography.

## **Declaration of Conflicting Interests**

The authors declared no conflicts of interest with respect to the authorship and/or publication of this article.

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